

## VIII. CERTIFICATION, ELIGIBILITY & COORDINATION OF SERVICES

(Please indicate) **State Agency: Wisconsin** for FY 2025

The review of certification, eligibility and coordination of services involves the process of determining and documenting participant eligibility (income eligibility as well as nutritional risk determination, standards, and criteria), and the coordination of certification activities with other health services.

During disasters, emergencies, public health emergencies, or a supply chain disruption, including infant formula recalls, the State agency may request to implement existing and allowable regulatory flexibilities or waivers to support the continuation of Program benefits and services. Waivers granted under Access to Baby Formula Act of 2022 (codified at 7 CFR 246.4a(30)) or temporary provision(s) authorized by Congress are not permanent amendments to the State Plan; however, State agencies should consider any historical amendments as the result of waivers granted under prior waiver authority to develop policies and procedures for current and future disasters.

- A. **Eligibility Determination and Documentation - 7 CFR 246.7(c)(1); 2(1); 246.7(d)(1); (2)(v)(B):** describe the policies and procedures for determining and documenting eligibility including the application process, residency requirements, identity requirements, documented physical presence or valid exception; proof of categorical eligibility, income limits, income eligibility documentation, determination of special populations and a definition of and policy toward the economic unit.
- B. **Nutrition Risk Determination, Documentation, and Priority Assignment - 7 CFR 246.4(a)(11)(i):** describe the policies and procedures for determining and documenting nutritional risk and priority assignments. Include a copy of the nutritional risk criteria the State agency plans to use with the appropriate documentation.
- C. **Health Care Agreements, Referrals, and Coordination - 7 CFR 246.4(a)(6); (7); (8) and (19):** describe the procedures for coordinating agreements and services with other health care providers at the State and local agency level including procedures to ensure that benefits are provided to persons with special needs.
- D. **Processing Standards - 7 CFR 246.4(a)(11)(i); 246.7(f)(2):** describe the State agency's processing procedures to ensure that the required standards and timelines are met.
- E. **Certification Periods - 7 CFR 246.4(a)(11)(i); 246.7(g):** describe the policies and procedures used to establish certification periods for participants and the autonomy (if applicable) granted to local agencies in determining eligibility time periods.
- F. **Transfer of Certification - 7 CFR 246.4(a)(6); (11)(i); and 246.7(k):** describe the State

agency's procedures for the transfer of certification and VOC cards ensuring that vital participant and program information is included.

**G. Dual Participation, Participant Rights and Responsibilities, Fair Hearing Procedures, and Sanction System - 7CFR 246.4(a)(11)(i) (16); (17) and (18); 246.7(h); 246.7(i)(10); 246.7(j); 246.7(l):** describe the procedures used to detect and prevent dual participation at the State and local level, the procedures for ensuring participants are notified of their rights and responsibilities, and the procedures regarding participant fair hearings and sanction system.

**A. Eligibility, Determination, and Documentation**

**1. Application Process**

**a. The State agency requires all local agencies to use a standardized application process for all persons applying for the WIC Program**

Yes       No

**b. The State agency shares  Statewide or  at local agency (check one), a common income application or certification form with (check all that apply):**

- No other benefit programs       Medicaid  
 TANF       SNAP  
 Maternal and Child Health (MCH)       Other reduced-price health care program(s)  
 Other (specify): [Click or tap here to enter text.](#)

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
[Click or tap here to enter text.](#)

**2. Residency, Identity and Physical Presence Requirements**

**a. The State agency requires documentation of residency**

Yes

Signed statement that documentation of residency information is not available and why (e.g., homeless, theft, fire)

No (Specify why, e.g., ITOs and Alaska natives who are exempt from this requirement): [Click or tap here to enter text.](#)

**b. The State agency has reciprocal agreements concerning residency with other State agencies**

Yes; list States: [Click or tap here to enter text.](#)

No

Describe any reciprocal agreements: [Click or tap here to enter text.](#)

**c. The State agency has special residency policies and procedures for how the following special categories should be treated (check all that apply):**

- Homeless applicants       Institutionalized applicants  
 Migrants       Indian Tribal Organizations

None

Other (specify): victims of theft, loss, or disaster

**d. The State agency allows the following as proof of identity; please select all that apply.**

Driver's license

Passport

State issued identification card

Employer issued identity card

Documentation from participation in a means-tested program.

Other (please list all that are accepted) county/tribal/student/military ID, birth certificate, name change court order, alien card, U.S. immigration/naturalization doc. Infant/child only: adoption/hospital/WI Immunization Registry/electronic birth record. Adult only: social security card

**e. The State agency requires physical presence of the applicant or a valid exception to be documented:**

Yes except for the following condition(s): [7 CFR 246.7\(o\)\(2\)](#)

Applicant or parent/caretaker is an individual with disabilities which prevent him/her from being physically present at the WIC clinic (e.g., medical equipment, bedrest or serious illness exacerbated by coming into clinic).

Applicant is an infant or child receiving documented ongoing health care from any health care provider, including the local agency; being physically present would pose an unreasonable barrier; and the infant or child was present at his/her initial WIC certification.

Applicant is an infant under 8 weeks of age who cannot be present at the time of certification (for a reason determined appropriate by the local agency) and for whom all necessary certification information is provided.

Applicant is an infant or child who was present at his/her initial certification; was present at certification within the one-year period of the most recent determination; and is under the care of one or more working parent, or under the care of primary working caretakers whose status presents a barrier to bringing the infant or child into the WIC clinic.

**3. The State agency requires applicants to submit proof of categorical eligibility for (check all that apply):**

All pregnant women

Pregnant women not visibly pregnant

Postpartum women

Children

Infants

Other (specify): [Click or tap here to enter text.](#)

**4. Income Limits for Eligibility**

**a. The State agency gross income limit for income eligibility is at or below 185% of the federal poverty income guidelines**

Yes, with no local agency

exceptions

Yes, with local agency variation

No, with no local agency exceptions  
(specify State maximum percent of poverty: [Click or tap here to enter text.](#) %)

No, with local agency variation  
(specify State maximum percent of poverty: [Click or tap here to enter text.](#) %)

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
[Click or tap here to enter text.](#)

**b. The State agency implements income eligibility guidelines concurrently with Medicaid**

Yes  No

**ADDITIONAL DETAIL: Please attach a copy of the income guidelines in the Appendix or the appropriate citation in the Procedure Manual. Certification and Eligibility Appendix and/or Procedure Manual (citation): Appendix VIII.A.4.a. WIC Income Eligibility Table**

**c. The State agency requires documentation of an applicant's, or certain family members' eligibility to receive benefits in the following means-tested programs that confer adjunctive income eligibility for WIC, as set forth in [7 CFR 246.7\(d\)\(2\)\(vi\)](#):**

	<u>Poverty Level</u>
<input checked="" type="checkbox"/> TANF (specify State "percent of poverty")	115%
<input checked="" type="checkbox"/> SNAP	130%
<input checked="" type="checkbox"/> Medicaid (specify State "percent of poverty" for each)	<a href="#">Click or tap here to enter text.</a> %
<input checked="" type="checkbox"/> Pregnant women and infants	306%
<input checked="" type="checkbox"/> Children	306%
<input checked="" type="checkbox"/> Other categorically eligible women	100%

**d. The State agency uses documented eligibility for participation in other means-tested programs to establish automatic WIC income eligibility (check all that apply, and the poverty levels used for each):**

	<u>Poverty Level</u>
<input type="checkbox"/> Free or Reduced-Price School Meals	<a href="#">Click or tap here to enter text.</a> %
<input type="checkbox"/> Supplemental Security Income (SSI)	<a href="#">Click or tap here to enter text.</a> %
<input type="checkbox"/> Other State-provided health insurance (specify State "percent of poverty" maximum <a href="#">Click or tap here to enter text.</a> %) <a href="#">Click or tap here to enter text.</a> %	

Food Distribution Program on Indian Reservations (FDPIR) 130%

Other (specify): [Click or tap here to enter text.](#)

e. **Individuals are required to document that they or a family member are certified as eligible to receive TANF, Medicaid, or SNAP benefits or, under the State option, certified as eligible to receive benefits in State- administered programs by providing:**

Program ID card (only if it includes dates of eligibility) or notice of current eligibility

Documentation of participation in State-administered programs (and such programs require documentation of income and have income guidelines at or below WIC's income guideline of 185% of poverty).

(Program[s]: [Click or tap here to enter text.](#))

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

[Click or tap here to enter text.](#)

## 5. Income Eligibility Documentation

a. **For WIC applicants whose income eligibility is not based on adjunctive or automatic income eligibility in another means-tested program, the State agency requires (check all that apply):**

Documentation of income information

Signed statement that documentation of income information is not available and why

Notation in the participant record if the applicant declares no income and why

Other (specify): Notation in the record if the applicant declares no income

b. **Exceptions to income documentation are made for the following: [7 CFR 246.7\(d\)\(2\)\(v\)\(C\)](#)**

The necessary information is not available

The income documentation presents an unreasonable barrier to participation as determined by the State agency

Those applicants with no income

Those applicants who work for cash

Other (specify): [Click or tap here to enter text.](#)

c. **If the applicant does not supply the necessary documentation at the certification appointment, local agencies are generally instructed to do the following:**

Certification process is terminated, and no food instruments/cash-value vouchers are provided; appointment rescheduled.

Temporary certification (not to exceed 30 days) for applicants that have one qualifying

nutrition risk and are able to present at least two of the three required documents (identification, residency, and income) during a certification appointment is completed and food instruments are provided. However, if applicant does not provide documentation within 30 days, certification expires, and a new eligibility determination must be conducted.

Other (specify): If two or three of the required proofs are not provided the cert process is terminated and appointment rescheduled; or in hardship cases appointment is conducted without proofs and when proofs are provided within 10 days the cert is processed & benefits issued at that time

d. **The State agency requires  State-wide, or  at local agency discretion (check one), the verification of applicant income information, if determined necessary**

No

Yes (check all sources required, as appropriate):

Employer

Public assistance offices

State employment offices (wage match, unemployment)

Social Security Administration

School districts/offices

Collateral contacts

Other (specify): [Click or tap here to enter text.](#)

e. **The State agency has specific policies that define actions to be taken at a mid-certification appointment if a participant's income eligibility changes.**

Yes; Please specify: Policy 2.3

No

f. **The State agency allows documentation of alternate income procedures for Indian or Indian Health Service (IHS) operated local agencies.**

Yes

No

Not Applicable

g. **The State agency has a specific policy that addresses income from benefits provided by a State-administered programs.**

Yes

No

h. **The State agency has a specific policy to ensure that certain types of income, such as combat pay or Family Subsistence Supplemental Allowance (FSSA) payments for households that include service members, are excluded from consideration in the WIC income eligibility determination, as provided by law and regulation.**

Yes

No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

Policy 2.3B

6. In determining an applicant's income eligibility for WIC, the State agency excludes basic allowance for housing received by military services personnel residing off military installations and in privatized housing, whether on- or off-base.

Yes, State-wide       No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
**Policy 2.3B**

7. The State agency excludes cost-of-living allowances for military personnel on duty outside of the contiguous 48 States (OCONUS COLA) from applicant income for purposes of WIC income determination.

Yes, State-wide       No

8. In determining an applicant's income eligibility for WIC, the State agency excludes payments given to deployed military service members. These payments are in accordance with Chapter 5 of Title 37 of the U.S.C.

Yes, State-wide       No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

[Click or tap here to enter text.](#)

9. In determining an applicant's income eligibility for WIC, the State agency calculates multiple income sources received by an applicant's household at different frequencies in accordance with WIC Policy Memo 2011-7 and compares the sum to the established WIC IEGs.

Yes, State-wide       No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
**Policy 2.3B**

10. The State agency defines the economic unit in accordance with WIC Policy Memo 2013-3.

Yes       No (if no, why not): [Click or tap here to enter text.](#)

**Provide the definition of an economic unit used by the State agency in the Appendix or the appropriate citation in the Procedure Manual.**

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**



Policy 2.3

**11. The State agency has specific policies or lists examples concerning the determination of the economic unit for (check all that apply):**

- Foster children
- Divorced/legally separated parents; step parents
- Absentee spouse (military hardship tours, etc.)
- Cohabitation
- Institutionalized applicants (including incarcerated applicants)
- Homeless applicants
- Minors ("emancipated" minors)
- Separate economic units under the same roof
- Striker/unemployed
- Students away at school
- Self-employed applicants
- Other (specify): Kinship Care

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

[Click or tap here to enter text.](#)

**12. Mid-Certification Disqualification**

- a. **The State agency ensures that local agencies are required to stipulate that an individual is not automatically disqualified mid-certification since she/he no longer participates in one or more of the Programs for which they were originally determined adjunctively/automatically income eligible.**

Yes       No

- b. **WIC regulations specify that when income eligibility is reassessed mid-certification, State/local agencies are required to reevaluate the Programs for which the individual could be determined adjunctively/automatically income eligible. If the individual cannot qualify based on eligibility for one of these Programs, eligibility must be determined based on WIC income guidelines and disqualification made only after all options are exhausted. The State agency ensures its policy and procedures comply with this requirement:**

Yes       No

**B. Nutrition Risk Determination, Documentation and Priority Assignment**

**1. Nutrition Risk Determination and Documentation**

**a. Professionals authorized by the State agency as Competent Professional Authorities (CPAs) to determine nutritional risk include (check all that apply):**

**Can certify for:**

<b><u>Qualification</u></b> <b><u>Priorities</u></b>	<b><u>Priorities I-III</u></b>	<b><u>All</u></b>
RD or Masters Level Nutritionist	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Bachelor's Level Nutritionist	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Physician	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Physician Assistant	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Registered Nurse	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Licensed Practical Nurse	<input type="checkbox"/>	<input type="checkbox"/>
Home Economist	<input type="checkbox"/>	<input type="checkbox"/>
Paraprofessional	<input type="checkbox"/>	<input type="checkbox"/>
Other (Specify): Dietetic Technician Registered		

**b. The State agency authorizes local agencies to (check all that apply):**

- Conduct  Anthropometric and  Hematological measurements
- Use medical referral data for  Anthropometric and  Hematological measurements
- Use data from a state Health Information Exchange (including access to medical referral data via a participant/physician portal)
- Use data from a trusted partner trained in taking accurate measurements. Please list or attach partners the state agency accepts data from (list doesn't need to be all-inclusive):

**c. The State agency uses only FNS-approved nutrition risk criteria, as referenced in Policy Memorandum #2011-5, WIC Nutrition Risk Criteria, and transmittal memorandum (dated December 17, 2020) that list the revised risk criteria requiring implementation by 10/1/2022, published on the FNS PartnerWeb, to document nutrition risk. (Note: A more recent transmittal memorandum was issued on November 17, 2022, however, the revised risk criteria included in this memorandum are not scheduled to be implemented until October 1, 2024)**

- Yes  No

**Please append a list of the nutrition risk criteria used by the State agency in its entirety to this State Agency Plan.**

**d. The State agency modifies nutrition risk criteria such that criteria definitions are more restrictive than nationally established definitions.**

Yes (list criteria): [Click or tap here to enter text.](#)

No

**e. Hematological risk determination: CFR 246.7(e)1(i)(A)**

**The State agency requires (check one of the following):**

Bloodwork data to be collected at the time of certification (Statewide).

Bloodwork data to be collected within 90 days of certification, so long as the participant is determined to have at least one qualifying nutritional risk at the time of certification (Statewide), and the State has implemented procedures to ensure receipt of data.

A shorter (less than 90 days) timeframe for collection of data past certification. Please specify the shorter timeframe [Click or tap here to enter text.](#)

**The State agency ensures that hematological assessment data are current and reflective of participant status, to include a bloodwork periodicity schedule that conforms to the requirements as described in [7 CFR 246.7\(e\)\(1\)\(ii\)\(B\)](#).**

Yes       No

**The State agency allows local agencies the option of obtaining bloodwork on children ages 2-5 annually if prior certification results were normal.**

Yes       No

**f. Anthropometric risk determination:**

**The State agency allows (check one):**

Anthropometric data for certification to be no older than 60 days (Statewide)

A shorter (less than 60 days) limit on age of anthropometric data or certification

**g. Nutrition assessment:**

**(i) Local agencies are required to perform a complete nutrition assessment (as described in the *Value Enhanced Nutrition Assessment [VENA] Guidance*) for all participants.**

Yes       No (explain): [Click or tap here to enter text.](#)

**(ii) Local agencies are required to perform a mid-certification nutrition assessment (as described in the *Guidance for Providing Quality Nutrition Services during Extended Certification Periods*) for all participants with and extended certification period.**

Yes       Not Applicable: (The State agency does not utilize the extended certification option for any participant category)

(iii) **The State agency policy requires that nutrition assessment intake information be collected on a State agency mandated form or Management Information System (MIS).**

- Yes       No

**If yes, attach mandated forms (or MIS screen shots) or specify location in the procedure manual and reference below.**

**If no, the State agency assures quality of nutrition assessment by:**

- Requiring local agencies to submit forms for approval  
 Annually monitoring the locally developed forms during local agency review  
 Other (specify): [Click or tap here to enter text.](#)

(iv) **Dietary assessment is based on professionally recognized guidelines (e.g., Dietary Guidelines for Americans, My Plate Food Guide, American Academy of Pediatrics)**

- Yes (specify): USDA's risk criteria  
 No (explain): [Click or tap here to enter text.](#)

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (cite):**

[Appendix VIII.B.1.c. Nutrition Risk Criteria, Policy 3.10 Value Enhanced Nutrition Assessment](#)

## 2. Documentation

a. **The State agency requires documentation in the applicant's case file for all nutrition risk criteria used to establish WIC eligibility (check one) (as described in FNS Policy Memorandum #2008-4, WIC Nutrition Services Documentation):**

- Yes, supported by a written "exceptions" policy (e.g., policies to direct clinic staff in situations in which documentation is unavailable)  
 Yes, with CPA discretion when to waive documentation requirement (no written policy)  
 No (explain): [Click or tap here to enter text.](#)

b. **As a matter of policy, the State agency requires the documentation of nutritional risk criteria on a participant's certification form in the following manner:**

- All identified risk criteria are recorded  
 A set number of criteria [Click or tap here to enter text.](#) is recorded (maximum number is 10 criteria)  
 Local agency personnel decide how many and which criteria are recorded  
 Other (specify): [Click or tap here to enter text.](#)

**3. Priority Assignments**

**a. Participants certified for regression**

- Remain in the same priority in which they were previously assigned
- Are assigned to Priority VII, regardless of their initial priority at first certification
- Other (specify): [Click or tap here to enter text.](#)

**b. The State agency requires verification for all nutrition risk criteria that require a physician’s diagnosis.**

- Yes
- No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (cite):**

[Click or tap here to enter text.](#)

**c. Participants may be certified for regression (check all that apply):**

- A single six-month period
- One time following a certification period
- No policy, local agency discretion

**d. High risk postpartum women are assigned to the following priority:**

- Priority III
- Priority IV
- Priority V
- Priority VI

**e. Participants certified solely due to homelessness/migrancy are assigned to the following priority:**

	IV	V	VI	VII
Pregnant Women	<input type="checkbox"/>			<input type="checkbox"/>
Breastfeeding Women	<input checked="" type="checkbox"/>			<input type="checkbox"/>
Postpartum Women			<input checked="" type="checkbox"/>	<input type="checkbox"/>
Infants	<input checked="" type="checkbox"/>			<input type="checkbox"/>
Children		<input type="checkbox"/>		<input type="checkbox"/>

**f. Attach a copy of any nutrition risk criteria that will be added, modified, or deleted during the coming fiscal year. For each criterion, indicate:**

- Applicable participant category
- Applicable priority level(s)
- Whether a physician’s diagnosis is required
- SA code number which conforms to list of codes provided by USDA for Participant Characteristics

data collection

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):  
Policy 3.10 and ROSIE, Wisconsin WIC Program Risk Criteria**

**C. Health Care Agreements, Referrals, and Coordination**

**1. State Agency Referral Agreements and Coordination of Services**

- a. The State agency has written formal agreements that permit the sharing of participant information with the following programs/providers (indicate whether information is shared manually (M) or through ADP (A) by placing either an M or A in front of the appropriate service):**

Click or tap here to enter text. SNAP Click or tap here to enter text. Rural/migrant health centers

Click or tap here to enter text. TANF Click or tap here to enter text. Hospitals

Click or tap here to enter text. Medicaid Click or tap here to enter text. Childhood

immunization

Click or tap here to enter text. SSI A Immunization registries

Click or tap here to enter text. EPSDT Click or tap here to enter text. Well-child programs

Click or tap here to enter text. MCH programs Click or tap here to enter text. Child protective

services

Click or tap here to enter text. Family planning Click or tap here to enter text. IHS facilities

Click or tap here to enter text. Private physicians

Click or tap here to enter text. Children with special health care needs program(s)

Click or tap here to enter text. Other (specify): A Lead Program, M Fit Families, M Mortality Review

- b. Formal agreements for coordination of services include:**

Responsibilities of each party

Assurance that information is used only for program eligibility and/or outreach

Assurance that information will remain confidential and not be shared with a third party

- c. The State agency requires local agencies to coordinate services with, and/or develop referral systems for, the following (check all that apply):**

SNAP

TANF

SSI

Children with special health care needs

Schools

Expanded Food and Nutrition Education

Program

Medicaid

CHIP

IHS facilities

MCH (clinics/facilities)

Early and Periodic Screening,  
Diagnostic and Treatment (EPSDT)

Family planning

Prenatal care

Postnatal care

Immunization

Dental services

Private physicians

Hospitals

Well-child programs

Other (specify): [Click or tap here to enter text.](#)

(EFNEP)

Other food assistance program (TEFAP, FDPIR,  
CSFP, etc.)

Breastfeeding promotion

Child protective services

Head Start

Early Head Start

Healthy Start

Substance abuse program

Child abuse counseling

Foster care agencies

Homeless facilities

Mental health services

Rural/migrant health centers

Lead Screening

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
[Click or tap here to enter text.](#)

## 2. Local Agency Referral Procedures

a. **The State agency ensures that local agencies make available to all adults applying or re-applying for the WIC Program for themselves or on behalf of others the following types of information:**

State Medicaid Program, including presumptive eligibility determinations, where available

Child support services

SNAP

Substance abuse counseling/treatment programs

TANF, including presumptive eligibility determinations, where available

Other State-funded medical insurance programs (specify): [Click or tap here to enter text.](#)

Other nutrition services (specify): [Click or tap here to enter text.](#)

EPSDT Program

Children's Health Insurance programs (s)

Other (specify): [Click or tap here to enter text.](#)

**b. The referral methods used by local agencies to other health and social service programs include (check all that apply, and indicate whether the method selected is the primary method of referral):**

	Primary
<input checked="" type="checkbox"/> State agency-developed referral forms	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/> Local agency-developed referral form	<input type="checkbox"/>
<input checked="" type="checkbox"/> Telephone call to referring agency	<input type="checkbox"/>
<input checked="" type="checkbox"/> Verbal referral to participants	<input type="checkbox"/>
<input type="checkbox"/> Automated client/participant information exchange	<input type="checkbox"/>
<input checked="" type="checkbox"/> Written literature on referral programs	<input type="checkbox"/>
<input checked="" type="checkbox"/> Follow-ups by staff to monitor	<input type="checkbox"/>
<input checked="" type="checkbox"/> Maintain a list of local resources for drug and other harmful substance abuse	<input type="checkbox"/>
<input type="checkbox"/> Counseling	<input type="checkbox"/>
<input type="checkbox"/> Other (specify): <a href="#">Click or tap here to enter text.</a>	<input type="checkbox"/>

**c. Methods used by other health and social service programs to refer clients to the WIC Program include (check all that apply, and indicate whether the method selected is the primary method of referral):**

	Primary
<input checked="" type="checkbox"/> WIC Program referral form	<input type="checkbox"/>
<input type="checkbox"/> Health/social program referral form	<input type="checkbox"/>
<input checked="" type="checkbox"/> Telephone call	<input type="checkbox"/>
<input checked="" type="checkbox"/> Verbal referral	<input checked="" type="checkbox"/>
<input type="checkbox"/> Automated client/participant information exchange	<input type="checkbox"/>
<input checked="" type="checkbox"/> Written literature on the WIC Program	<input type="checkbox"/>
<input type="checkbox"/> Other (specify): <a href="#">Click or tap here to enter text.</a>	

**d. The State agency has a system in place to monitor the extent to which WIC participants are using other health or social services (check all that apply):**

Yes (check):    
 Medicaid   
 TANF   
 MCH   
 SNAP

Yes, other (specify): [Click or tap here to enter text.](#)

No

**e. The State agency requires local agencies to monitor referrals to determine the extent of health or social services utilization in addition to State monitoring systems.**

Yes      No



**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):  
Policies 5.1, 5.2, 5.3, 5.4**

- f. **To facilitate referrals to the Medicaid Program, the State agency provides each local agency a chart showing the maximum income limits, according to family size, applicable to pregnant women, infants, and children up to age 5 under the Medicaid Program.**

Yes  No

- g. **The State agency assures that each local agency operating the Program within a hospital, and/or that has a cooperative arrangement with a hospital, advises potentially eligible individuals that receive inpatient or outpatient prenatal, maternity, or postpartum services, or that accompany a child under the age of 5 who receives well-child services, of the availability of Program services.**

Yes  No

- h. **The State agency ensures that, to the extent possible, local agencies provide an opportunity for individuals who may be eligible to be certified within the hospital for participation in WIC.**

Yes  No

- i. **The State agency ensures that when WIC is at maximum caseload, local agencies make referrals to:**

- Food banks  
 Food pantries  
 Soup kitchens or other emergency meal providers  
 SNAP  
 The Emergency Food Assistance Program (TEFAP)  
 Food Distribution Program on Indian

Reservations (FDPIR)  Other (specify):

[Click or tap here to enter text.](#)

- j. **The State agency ensures that when WIC is at maximum caseload, local agencies notify the State agency of any waiting lists established.**

Yes  No

- k. **The State agency ensures that when WIC is at maximum caseload, the State agency notifies FNS of any waiting lists established.**

Yes  No

- l. **The State agency ensures that when the WIC participant's family has immediate needs for food**

**beyond what WIC might provide, local agencies make referrals to:**

- Food banks
- Food pantries
- Soup kitchens
- SNAP
- The Emergency Food Assistance Program (TEFAP)
- Food Distribution Program on Indian Reservations (FDPIR)
- Other (specify): [Click or tap here to enter text.](#)

**m. Immunization Screening and Referral**

**The State agency assures that each local agency is meeting the requirements of WIC Policy Memorandum #2001-7, August 30, 2001: Immunization Screening and Referral, as follows:**

- Screening children under the age of two using a documented immunization

history:

- Using the minimum screening protocol; or

- Using a more comprehensive means, (specify): [Click or tap here to enter text.](#)

- Using another program or entity to screen and refer WIC children using a documented immunization history; (specify):[Click or tap here to enter text.](#); **or**

- Implementing the minimum screening protocol is unnecessary because immunization coverage rates of WIC children by 24 months are 90% or greater; **or**

- The State agency has been unable to formalize a coordination agreement with the State Immunization Program. Provide explanation of extenuating circumstances:

[Click or tap here to enter text.](#)

**The State agency's policy and procedure manual has been updated to include the above immunization screening and referral protocol.**

- Yes       No

**D. Processing Standards**

**1. Notification Standards**

- a. The State agency defines special nutritional risk applicants who are to be notified of their**



## E. Certification Periods

### 1. Certification Period Standards

- a. (i) The State agency authorizes local agencies to certify infants under six months of age for a period extending up to the first birthday provided the quality and accessibility of health care services are not diminished:

- Yes, at all local agencies  
 Yes, at selected local agencies  
 No

- (ii) The State agency authorizes local agencies to certify children for a period of up to one year provided that participant children receive required health and nutrition services:

- Yes, at all local agencies  
 Yes, at selected local agencies  
 No

- (iii) The State agency authorizes local agencies to certify breastfeeding mothers for a period extending up to the infant's first birthday or until breastfeeding is discontinued (whichever comes first), if there is no decrease in health and nutrition services that the participant would otherwise receive during a shorter certification period:

- Yes, at all local agencies  
 Yes, at selected local agencies  
 No

- (iv) The State agency ensures that health care and nutrition services are not diminished for participants certified for longer than six months:

- No  Yes (describe): Policy 3.34

b. **Extended certification is an option for the following (check all that apply):**

- Priority I infants       Priority II infants       Priority IV infants  
 Priority III Children       Priority V Children  
 Priority I Breastfeeding Women       Priority IV Breastfeeding Women

c. **The State agency authorizes local agencies to shorten or extend the certification period up to 30 days in certain circumstances.**

- Yes (If yes, provide citation indicating circumstances):  No  
Policy 2.10 and 10.61

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):  
Policy 2.10**

**2. The State agency authorizes local agencies to disqualify an individual in the middle of a certification period for the following reasons (check all that apply):**

- Participant volunteers the information that they are over income
- Participant abuse
- Family member found income ineligible at recertification
- Failure to pick up food instruments/cash-value vouchers for [Click or tap here to enter text.](#) consecutive issuances
- Other (specify): [Click or tap here to enter text.](#)

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation): Policies 2.3 and 2.11**

**F. Transfer of Certification**

**1. Procedures for Transfer of Certification and Verification of Certification (VOC)**

**a. The State agency has procedures in place that are used by all local agencies for transfers of certification within the State agency (intra-State), between State agencies (inter-State), and to the WIC Overseas Program (WICO):**

Intra-State	Inter-State	WIC Overseas	
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Yes
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	No

**b. A participant ID card/folder/documentation is provided which also serves as a VOC:**

- Yes       No

**c. The State agency requires all local agencies to use a standardized VOC:**

- Yes       No

**d. VOCs are issued to the following (check all that apply):**

- All participants
- Migrants
- Homeless
- Participants relocating during certification period
- Persons affiliated with the military who are transferred overseas
- Other (specify): all transferring out of state

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
[Click or tap here to enter text.](#)

**2. The State agency requires all local agencies to include the following information on the VOC (check all that apply):**

- Name of participant
- Date certification performed
- Date income eligibility last determined
- Nutritional risk condition of the participant
- Date certification period expires
- Signature/printed or typed name of certifying local agency

official

Name/address/phone number of certifying local agency

Identification number or some other means of

accountability

Other (specify): [Click or tap here to enter text.](#)

**3. The State agency requires all local agencies to accept as valid all VOCs from both the domestic WIC Program and the WIC Overseas Program that contain the following essential elements:**

- Participant name
- Name and address of the certifying agency
- Date the current certification period

expires

**4. The State agency honors the one-year certification period for transferring participants (infants, children, and breastfeeding women) even if it certifies participants every six months.**

Yes       No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

**Policy 2.6**

**G. Dual Participation, Rights and Responsibilities, Fair Hearings, Sanctions**

**1. Dual Participation**

**a. The State agency has written procedures to prevent and detect dual participation within each local agency and between local agencies:**

Yes      (Please attach any descriptions of policy in Appendix or cite appropriate

section(s) of the Procedure Manual): Policy 8.1, 8.2, 8.4

No

- b. **The State agency has a written agreement with the Indian State agency(ies) or other geographic State agencies in proximity for the detection and prevention of dual participation (attach a copy of each applicable agreement or provide a citation of where a copy is located):**

Yes       No       Not applicable

- c. **The State agency has established procedures to handle participants found in violation due to dual participation:**

Yes (Please attach any descriptions of policy in Appendix or cite appropriate section(s) of the Procedure Manual): Policy 8.2

No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
**[Appendix VIII.G.1.a. Participant Integrity Guidance; Appendix VIII.G.1.b. State Dual Participation Agreements; Policy 8.2](#)**

## 2. Participant Rights and Responsibilities

- a. **The State agency has uniform notification procedures that are used by all local agencies statewide:**

Yes       No

- b. **The State agency requires all local agencies to inform applicant/participant of his/her rights and responsibilities in written form, and must be read by or to the applicant, parent, or caretaker:**

Yes       No

- c. **The State agency has implemented a policy of disqualifying participants for not picking up food instruments:**

Yes       No       Not applicable

**If yes, the policy is communicated to participants in the participant rights and responsibilities materials:**

Yes       No       Not applicable

- d. **The State agency has implemented a policy to specifically inform participants that they are not allowed to sell WIC food benefits, including online:**

Yes       No; explain: [Click or tap here to enter text.](#)

- e. **The State agency has policies and procedures to identify attempted sales of WIC food benefits in their WIC State Plan:**

Yes    No; explain: [Click or tap here to enter text.](#)

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
**Appendix VIII.G.2.b. WIC Rights and Responsibilities**

**f. The State agency has developed special notification policies and procedures for the following:**

- Applicant/participant who cannot read
- Applicant/participant who speaks in a language other than English
- Homeless
- Migrants
- Persons with disabilities
- Other (specify): [Click or tap here to enter text.](#)

**g. The State agency requires all local agencies to provide notification of participant rights and responsibilities in the following situations:**

- Eligibility at each certification
- Ineligibility at initial certification
- Mid-certification disqualification
- Expiration of a certification period
- Waiting list status
- Other (specify): copy is provided in the WIC Shopping Guide and the MyWIC app

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
**Appendix VIII.G.2.b. WIC Rights and Responsibilities; Policies 2.11, 3.21**

**3. Fair Hearing and Sanction System**

**a. The State has a law or regulation governing participant appeals:**

Yes    No

**b. The State agency has established statewide fair hearing procedures:**

- Yes; attach fair hearing procedures for participants or specify the location in the Procedure Manual and reference in additional detail section below.
- No

**c. State or local agency actions against participants include (check all that apply):**



- Reclaiming the value of improperly received benefits
- Disqualification from the Program for up to one year
- Suspension from the Program mid-certification
- Other (specify): to allow children to stay on the Program, selection of a different cardholder

d. **Appeal hearings are held at:**

- WIC State agency parent agency
- Other State agency or hearing board (specify): [Click or tap here to enter text.](#)

- Local WIC agency
- Other (specify): [Click or tap here to enter text.](#)

e. **Statewide fair hearing procedures include (check all that apply):**

- Request for hearing
- Denial or dismissal of request
- Rules of procedure
- Fair hearing decision
- Judicial review
- Local agency responsibilities
- Continuation of benefits
- Responsibilities of hearing official
- Other (specify): [Click or tap here to enter text.](#)

f. **State agency procedures require written notification for (check all that apply):**

- Appeal rights
- Denial or dismissal of request
- Termination within certification period
- Judicial review
- Request for hearing
- Notice of hearing
- Fair hearing decision
- Other (specify): [Click or tap here to enter text.](#)

g. **The State agency has established timeframes to govern each step of the hearing process:**

- Yes
- No

h. **The State agency requires all local agencies to document any notification/correspondence in the participant's file:**

- Yes
- No

i. **The State agency has a written sanction policy for participants:**

Yes (If yes, provide appropriate citation

below)

No

j. **The State agency has established procedures which determine the type and levels of sanctions to be applied against participants:**

Yes       No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):  
Policy 10.52 Fair Hearing; Chapter 8 Policies Participant Fraud and Abuse**