



Financial Exploitation Investigation Checklist: Sources of Evidence

Bank records

- Bank accounts
- Certificates of deposit
- Signature cards
- Account opening documents
- Bank surveillance footage
- Safe deposit box visitors' log
- Canceled checks (front and back)
- Cashiers' checks
- Deposit and withdrawal slips
- Cash log or teller journal
- Wire authorization forms
- Bank correspondence
- Suspicious Activity Reports (SARs)
- Currency Transaction Reports (CTRs)
- Mortgage/home equity loan records
- Direct deposit records
- ATM records
- Recurring bill payment history
- Credit reports

Brokerage account records

- Stocks
- Bonds
- Money Market accounts
- Annuities

- 401(k)/IRA account records

Social Security

- Payment records
- Representative payee information
- Disability income statements

IRS

- Tax returns
- W-2 forms

From the home

- Home furnishings
- Personal effects
- Vehicles
- Insurance policies
- Burial trusts
- POA documents
- Identification (passports, IDs)
- Credit card records
- Records of loans/gifts to friends/family
- Identity of accountant, tax preparer, any financial advisors
- Rental property records
- Alleged abuser's income source/amount
- Bills and unpaid bill notices

Register of deeds/court records

- Local property records
- Wills and/or trust documents
- Bankruptcy court records
- Letters of guardianship/conservatorship
- Civil litigation records