

WISCONSIN
COMMUNITY FORENSIC SERVICES
DIVISION OF MENTAL HEALTH AND SUBSTANCE
ABUSE SERVICES
DEPARTMENT OF HEALTH AND FAMILY SERVICES

ANNUAL REPORT

CONDITIONAL RELEASE PROGRAM
AND
OUTPATIENT COMPETENCY PROGRAM
JULY 1, 2006 – JUNE 30, 2007

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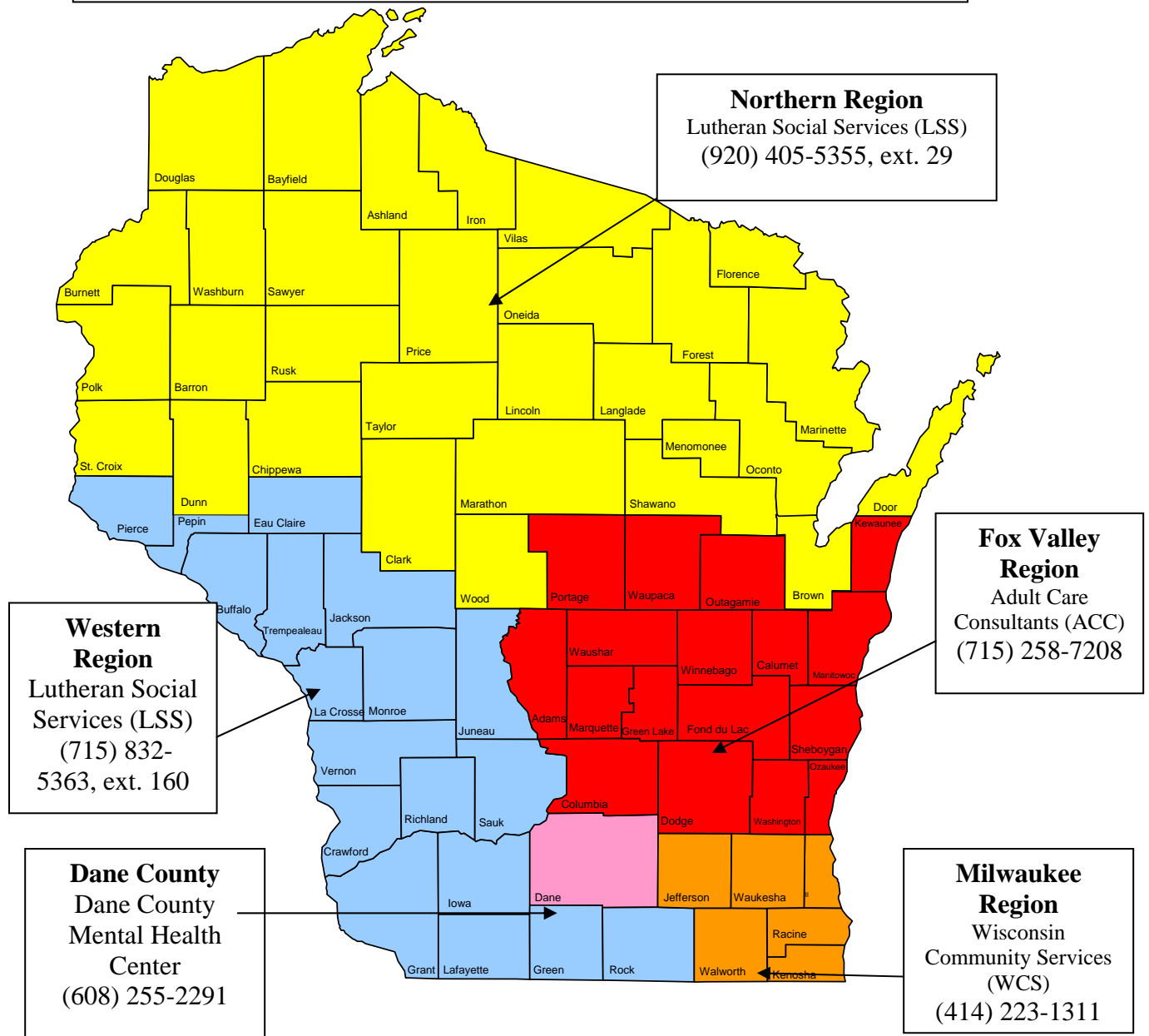
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Community Forensic Services Regional Provider Map

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PROGRAM STATEMENT

The Wisconsin Conditional Release Program funds, coordinates and administers quality forensic mental health services in accordance with Wisconsin State Statute 971.17. The program seeks to meet the challenge of providing client-centered community-based mental health services while also managing risk to community safety.

The purpose of this report is to assess the program's outcomes, and lay the groundwork for research and program development. This report also reflects the belief that services to forensic clients must be a well-coordinated, seamless service delivery system. Therefore, information from the Department of Corrections (DOC) Division of Community Corrections (DCC), Mendota Mental Health Institute (MMHI) and Winnebago Mental Health Institute (WMHI) are incorporated along with the information from community service providers.

In order to fulfill our statutory obligation, the community forensic program strives to share innovative ideas, program successes, program concerns, resource development, program information and data to the betterment of community forensic service provision statewide. We have developed strong team relationships across departments as well as with private resources in order to manage risk, and maximize efficiency, effectiveness and quality service provision to individuals on forensic commitments court ordered into the community.

FY 2007 ACCOMPLISHMENTS

Conditional Release Program FY 07 Goals and achievements

1. Clarify and implement Clients' Rights Grievance Procedure for Conditional Release clients.
 - The Clients' Right Grievance Procedure for Conditional Release (CR) clients became effective July 1, 2007. The Conditional Release Program partnered with the Division Client's Rights Unit for consultation and training. CR Program regional provider directors and case managers received training in April 2007.
2. Develop standards of practice and standards for hiring case managers program wide. Develop case manager curriculum to be completed by all case managers.
 - The development of CR Program standards of practice will be continued into the next fiscal year. Standards for hiring case managers have been clarified in FY07 but still require program wide review. Curriculum for case manager training has been completed and implemented. The Case Manager Procedure Manual which complements the New Case Manager Training is completed and available on line at:
<http://dhfs.wisconsin.gov/mentalhealth/ConditionalRelease/cmmanual.pdf>
3. Revise Quality Assurance monitoring mechanisms to review the quality of the documentation in client charts as well as to streamline the paperwork and avoid duplication.
 - Adult Care Consultants (ACC), regional provider in the Fox Valley, specifically addressed this goal in their annual report. Files and client charts are now uniformly formatted and easily accessible. The Conditional Release Program Monitoring Tool for contract compliance is in a final stage of revision. Revisions in monitoring procedures will be shared with providers prior to implementation.
4. Increase time spent between CR program staff and NGI clients at Mental Health Institutes (MHI). Also make efforts to better integrate the CR program and the MHI treatment programs, including better avenues for information sharing.
 - February 2007, TRAC 3, Mendota Mental Health Institute (MMHI) minimum security unit, began hosting staffings with Conditional Release regional providers. On a monthly rotating basis, staff from one of the five regional providers meets with patients residing on TRAC 3 and FTU and their treatment teams. These multi-disciplinary staffings serve to bridge patient and program information between inpatient and community services.
 - Winnebago Mental Health Institute continues to staff patients with CR program providers at monthly social services meetings.
 - Regional provider staff continues to make efforts to meet with clients from their regions at least once per year if not more frequently at both MHIs.

- MHI/CR Program staffings to address transitional issues: Within one week of a MHI patient being granted conditional release from either MMHI or WMHI, the MHI hosts a staffing that includes regional provider staff, MHI medical staff and other MHI treatment team members. The purpose of these meetings is to discuss medical issues/medication issues/ medical supply issues that will need to be in place for a successful discharge from the MHI to the CR program.
 - Quicker placements: State statute allows DHFS 60 days to develop treatment plans for clients conditionally released from MHIs . The CR program made efforts to develop plans in less than 60 days. For example, ACC's concerted effort saved 411 bed days in this fiscal year. WCS saved 568 MHI bed days by arranging to have clients admitted to the CR program directly from court following the approval of the treatment plan rather than returning to the MHIs. Dane County has worked with treatment teams at MMHI to submit a treatment plan with the initial court letter if it appears the individual will be granted a CR thus moving the person into the community more rapidly.
5. Coordinate and promote program wide training for case managers, DCC agents and CR program administration.
 - In April 2007, guest speaker Flo Hillyer from UW-Madison provided training entitled, "The Neurobiology of Addiction and Behavior." It was well attended by case managers, DCC agents, and CR Program Administration. This training offered case managers and agents an opportunity to gain insight into the dynamics of addiction, and insight into treatment plan development for clients with substance abuse/addictions. The second half of the training focused on implementation of the CR Program Client's Rights Procedure.
 6. Streamline meetings in order to maximize time spent with clients, utilizing teleconferencing whenever feasible.
 - Travel and has been reduced by the increased use of conference calls program wide. Program Directors meetings have been reduced in the past two years to conference calls monthly except for face to face meetings in February, May, September and November. Providers take turns serving as chair for the face to face meetings focusing on issues identified by the providers. The conference calls are used primarily for program management and procedural issues.
 - All programs have initiated many more conference calls in regard to client issues, staff issues, etc.
 7. Complete Conditional Release Procedure Manual which will include specific program policies and procedures.
 - Alan Tripp compiled program policies/procedures that fall under the responsibility of the case managers and created a user friendly document which is available to all case managers on line at:
<http://dhfs.wisconsin.gov/mentalhealth/ConditionalRelease/cmmanual.pdf>

- The procedure manual is utilized in New Case Manager Training conducted by Beth Dodsworth and is given to new case managers for their use during the training and on the job. Most questions on CR program procedure can easily be answered when the Case Manager Procedures Manual is used in conjunction with the Conditional Release Program – Department of Community Corrections procedure manual.

Regional Provider Goals FY07

WCS Multi-County Program:

- Create and implement a heat advisory policy to specifically address clients at risk for heat related illness.
- Developed a program specific heat advisory protocol for all clients on CR. Each client was individually counseled as to heat related precautions. An emergency contact (identified by the client) was provided with the same information to educate them allowing for the reinforcement of the client’s understanding.

LSS Programs

- Focus on reintegrating CR clients back into their county of residence.
- Case managers took clients to their home counties for “day visits” to acclimate them prior to moving. As a result, LSS has been more successful transitioning clients back into their county of residences in a timely manner.
- LSS will facilitate social events and educational activities
- LSS has a tradition of hosting an Annual Picnic. This year they made the decision to host regional (northern and western) picnics to alleviate the travel time for case managers as well as clients. These events have always been very well received by clients, therefore LSS intends to implement more activities such as monthly bowling, “walking clubs” and other group activities. Educational activities are offered through Turning the Corner in Wausau and Eau Claire.
- LSS will develop strategies to decrease client financial dependence.
- LSS case managers review client budgets a minimum of every 6 months to educate clients about their monthly costs. LSS devotes time to exhausting third party resources (food stamps, rental assistance, energy assistance, discount medication programs, etc.) in an effort to boost clients’ financial independence.
- LSS staff will assess new and existing clients to determine the need for the completion of HCR-20 risk assessments and sex offender evaluations.
- LSS incorporated the use of HCR-20s and sex offender evaluations as deemed necessary throughout this fiscal year.

ACC-Fox Valley

- Clinical Summaries: Clinical Summaries were written within seven (7) days for all clients taken into custody for the purpose of revocation. This succinct

summary, no more than two pages, is submitted to the CR client's DCC agent and given to the court with revocation proceedings paperwork. Reaction has been very positive.

- Discharge Summaries: This document includes a treatment summary as well as transition information. It is sent to the county mental health unit that has or will be working with the client after discharge from the CR Program. Feedback from counties has been very positive.
- ACC partnered with NAMI Fox Valley to provide education/training in Crisis Intervention Training (CIT). This has been a rewarding training experience for the police officers involved as well as case managers.

Dane County

- Implementation of CASIG/SOCI in Dane County
 - Dane county clients not served in a CSP are now assessed using the CASIG/SOCI functional assessment tool. The treatment plans will reflect the information identified in the assessment and progress toward treatment goals will be monitored. The data will be graphed by Alan Tripp for the time being.
- Increase enrollment in the Positive Thinking group.
 - This group has been so successful there are now two groups. Just over 40% of Dane county clients are participating in these groups. The focus is on clients setting their own goals, assessing their progress toward meeting those goals and developing interventions that work for them.
- Training/educational initiative involving group home and Adult Family Home (AFH) providers: AFH staff received training on how to work with CR Program clients, and what the CR Program expects of AFH providers.
 - Training has expanded to include group home staff . There has been a noticeable improvement in staff/client pro-social interactions.
- Managing the budget and reviewing all billing
 - Very successful year, ending the year almost \$100,000 under budget. Credit goes to the hard work of the case managers in aggressively pursuing disability determinations and accessing MA for Dane County clients. Crisis stabilization staffs continue to ensure full utilization of available crisis funds for our clients.

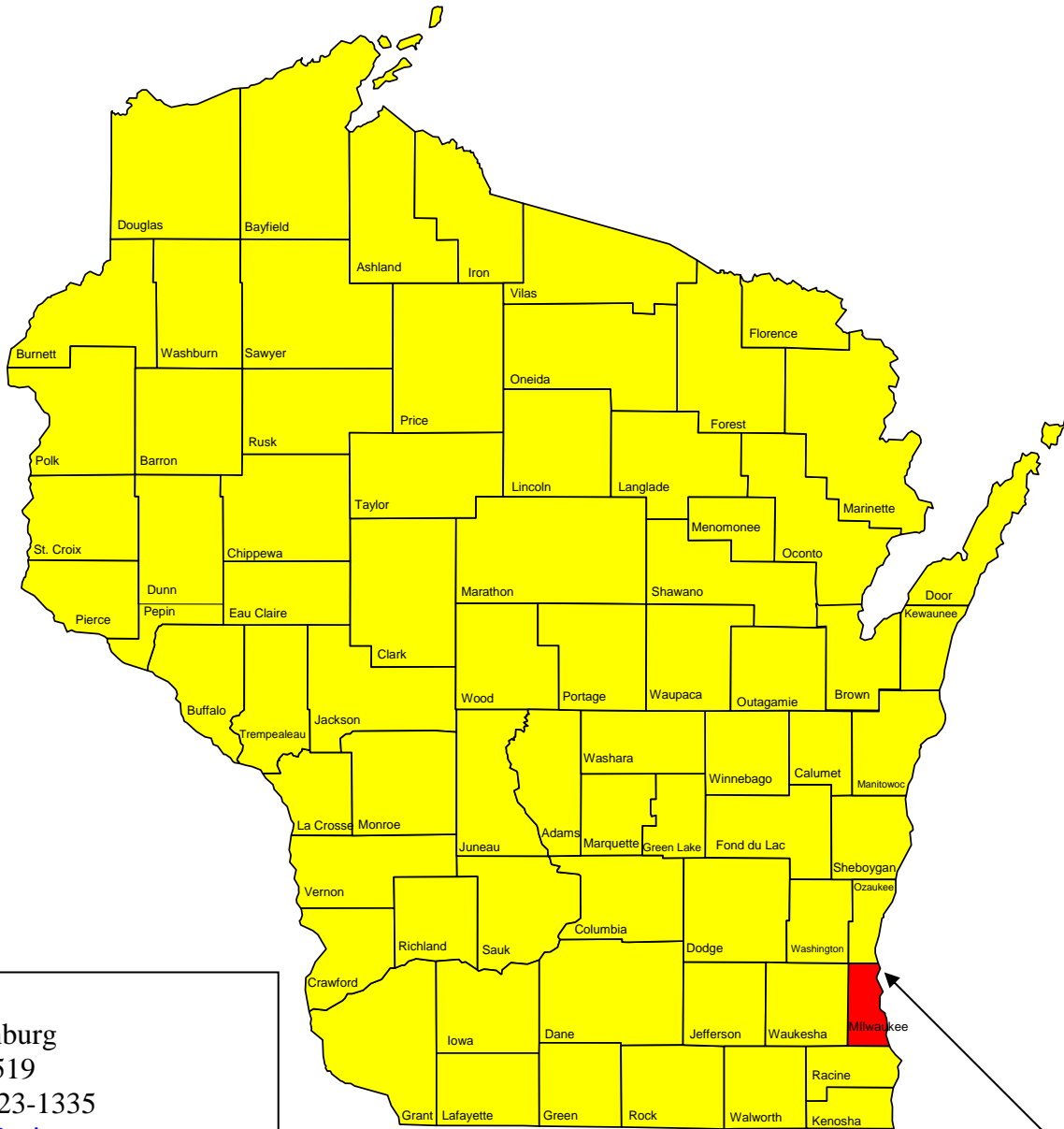
FY 2008 GOALS AND INITIATIVES**Conditional Release Program**

1. Decrease average time taken to place patients granted conditional release from MHIs by 10% (from an average of 60 days to 54 days).
2. Review current psychiatric diagnoses on all CR clients to capture Axis I substance abuse disorders (co-occurring).
3. Increase therapeutic/social/recreational activities for clients who struggle with unstructured time. Increase the number of groups designed to assist clients with structuring their recreation/leisure time in productive ways
4. CR program staff meet with MHI clients from their region at least 2 times per year in order to better assess community readiness and work with treatment teams to address unmet needs of these clients prior to community placement.
5. Review each conditionally released client's medical issues with MHI staff within one week of the conditional release.
6. Each Provider region will provide appropriate services to the clients in their regions within the allocated budget for their program.
7. Provider regions will monitor all CR clients housed in CBRF/Group Home or AFH placements. Expected program length of stay is 90 days or less.
8. Develop Program Standards for hiring of case managers. Establish minimum education requirements and experience requirements for all new hires into the Conditional Release Program.
9. Reduce CR client unemployment by 5%. Over 90% of revoked clients were unemployed at the time of revocation.
10. Increase partnerships with Community Psychiatric hospitals in order to meet clients' needs locally rather than requiring stays at the state MHIs. Secure 5 hospital partnerships statewide by the end of FY08.

Wisconsin DHFS Court Liaison Coverage

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COURT LIAISON PROGRAM

This is the second full year of data on court liaison activities. The Court Liaison Program was initially divided into three regions: Northern, Southern, and Milwaukee. The program combined the Northern and Southern regions in December 2006. Adam Oldenburg took over as the liaison in both the northern and southern regions. Milwaukee is served by Justice 2000, functioning as both court liaison and a pre-trial program for the courts through a cooperative arrangement between DHFS and the Court Administrator's office.

The Court Liaison Program provides consultation and education to courts. This includes education on available forensic services and DHFS procedures such as appropriate processes for competency examinations and treatment. Additionally, they educate courts as to the appropriate processes for new NGI commitments as well as NGI petitions for re-examination and conditional release. Court liaisons track these processes through court systems in an effort to insure procedural efficiency.

Court Liaisons have conducted consultation and formal trainings in numerous counties this fiscal year. Courts have expressed appreciation for having one contact person who can answer their system questions and coordinate with the department. These positions have received positive feedback from all of the counties with whom they have worked in the past fiscal year.

The Court Liaison Program is developing a statewide tracking system. Justice 2000 is working on re-designing part of their data tracking system so they can provide DHFS the data they need as well as provide the Milwaukee County Court system the data it needs. Current available data indicates that incorporating court liaison responsibilities into the community forensic system has significantly improved the flow of cases through court systems and has helped to reduce the MHI's admissions waiting list.

Treat to Comp.	Northern Region	Southern Region	Milwaukee	Total
#Cases Tracked	168	645	394	1207
#Hearing Moved	2	15	11	28
# Days Saved	93	568	153	814

Based on the total number of days saved, court liaisons have made 8 beds available between the two institutions.¹

¹ Given the above data, in order to determine how many beds were made available due to tracking and hearings being moved up by the court liaisons, it is necessary to determine the MHI average length of stay of the s. 971.14(5) Treat to Competency population. Based on the data gathered this calendar year, the average length of stay for this population is 102 days. Therefore, based on the total number of days saved between the two facilities the court liaison efforts have opened 8 beds in the system.

Additionally court liaison responsibilities include tracking Mental Health Institution (MHI) treat to competency cases where courts have not set hearings, and MHI NGI patient petitions for re-examination to determine appropriateness for conditional release. In these two areas court liaisons in the northern and southern regions set hearings in 136 cases. The average time to set a hearing in the northern region was 31 days (court liaison changed after the first 6 months, so the first 6 month average was 49 days and the second 6 month average was 13, demonstrating a significant improvement in the second half of the fiscal year). The average time to set hearings in the southern region was 20 days.

In FY07 there were 123 petitions for re-examination filed state wide. This resulted in 52 individuals with conditional releases moving into the Conditional Release Program. There were 52 petitions withdrawn by the clients and 19 petitions were denied by the courts.

Court Liaison Program FY 07 Goals and achievements

1. Southern Region set a goal of conducting 4-6 court training sessions or refresher training programs within FY07. The Northern Region also set training as a priority for the next FY.
 - In 2006-2007 conducted training sessions in Sheboygan (4/16/07) and Waukesha County (4/10/07).
 - Provided extensive training and consultation with Rock County via conference call (5/18/07) and mailing of reference materials and the 971 flow chart.
 - Offered training and provided reference materials/flow charts to Kewaunee, Manitowoc, Outagamie and Winnebago Counties per ACC's request.
 - Offered training and provided reference materials/flow charts to Kenosha and Racine County per WCS's request.
 2. Conduct training sessions on the role of the court liaisons and the coverage areas, etc at State Mental Health Institutes.
 - Developed and presented training on the Courts and Liaison's role to the Social Workers at MMHI on 11/7/06 and WMHI on 10/2/06.
 3. Review data collection methods to insure that court liaisons are collecting like data sets and reporting information consistently. Review data sets for usefulness to program analysis and program development.
 - July, 2007 the court liaison position in the Northern Region was discontinued. One position became full time and covers 71 of the state's 72 counties. Data collection is incorporated into one spreadsheet and therefore consistent for all 71 counties. Justice 2000 which covers Milwaukee county gathers and reports on the same data categories.
 4. Work to reduce the number of days between court ordered reports and return to court in cases where the hearings need to be set by court liaison staff. Increase the number
-

of days saved in cases where a hearing is set by the court and needs to be moved closer by court liaison staff.

- Average Days Saved per month by moving up Return to Court Dates:
 - 47.4 days saved Southern Wisconsin
 - 8.5 days saved Northern Wisconsin
 - Total Days Saved:
 - Southern: 568 days
 - Northern: 93 days
 - When no Return to Court date is set:
 - Southern: 19.5 days
 - Northern: 32.1 days
 - Total Hearings Set:
 - Southern: 112
 - Northern: 24
5. Review the purpose, accuracy and value of NGI plea tracking.
- Value is currently being assessed against the amount of time needed to track NGI pleas .

Court Liaison Program FY 2008 Goals

1. Continue to provide training/refresher sessions, case consultations and reference materials as needed. Continue to offer training to counties with high case volume, with a targeted goal of conducting training sessions in Racine and Rock County.
2. Develop and distribute a customer Satisfaction Survey to the various Judicial Offices, DHFS contacts and DHFS contracted providers by the end of September, 2008. The purpose of the survey is to solicit information and feedback on the understanding of the Court Liaison role, and to assess the efficiency/effectiveness of this position.
3. Average thirty (30) “days Saved” per month on 971.14(5) tracking by moving-up Return to Court dates.
4. When no Return to Court date is set, average fourteen (14) “Days Between Report and Return to Court” per month on 971.14(5) tracking.
5. Merge liaison tracking data for the northern and southern regions.

SUMMARY AND CLOSING

The Conditional Release Program has funded, coordinated and administered quality forensic mental health services to 410 clients in FY 07, with an average daily population of 275 clients. Accomplishments related to quality forensic mental health services include the implementation of a Clients' Rights Grievance Procedure for Conditional Release clients; increased time spent between the CR program staff and NGI clients at Mental Health Institutes (MHIs) and integrating community and MHIs programs to better serve NGI clients; implemented staffings with MHIs to identify difficult issues related to medications and medical problems early in the treatment planning process; streamlining program meetings to make more use of teleconferencing enabling providers to spend more time working directly with the clients and less time traveling to meetings, etc.; completion of a Conditional Release Procedure Manual and placing the manual on the department website. Individual CR providers set goals for their regions and have done an outstanding job this year meeting those goals. Some of the highlighted goals achieved include: WCS Multi-County region developed and implemented a heat advisory policy to specifically address clients at risk for health related illness and put in place a procedure to monitor these clients; LSS focused on transitioning CR clients back into their county of residence when placed in residential facilities outside of their county of residence. Case managers took clients to their home counties for "day visits" to acclimate them prior to moving. LSS has reduced the amount of time to place clients. ACC developed clinical summaries, submitted with revocation summaries, which describe the client's response to community treatment. ACC also developed and implemented discharge summaries for clients transitioning to county-based services after discharge from the CR Program. Feedback on both documents has been positive. Dane County has increased enrollment in Positive Thinking groups for CR clients. Over 40% of the Dane County CR clients are participating in these groups. The focus is on clients setting their own goals, assessing their progress toward meeting those goals and developing interventions that work for them. Many more program enhancements have been developed this year but these give a flavor for the creative, innovative efforts by the entire CR program in the past year.

In an effort to promote a well-coordinated, seamless forensic service delivery system, the Conditional Release Program continues the use of Court Liaison positions to provide tracking, consulting and training services to circuit courts throughout Wisconsin. In FY07 the Court Liaison staff in the Northern and Southern region conducted training in 9 different counties, exceeding their goal of conducting 4-6 trainings in addition to all of the tracking/consultation they provide. Management of the forensic population statewide is made possible, in part, by these trainings. Additionally, through their efforts approximately 841 Mental Health Institution bed days were saved which equate to approximately 8 beds made available for new admissions.

The balance of providing community forensic mental health services while managing risk to the community continues to be the Conditional Release Program's priority. Of the 410 clients served, four (4) were convicted for new offenses, all non-violent. While this percentage is extremely low, the Conditional Release Program will continue to address the balance of service provision and community safety by continuing to use a violence

risk assessment tool with clients who have a history of violence, along with the standard client assessment process in FY08. The program will continue to review and carefully assess the issues which bring our clients back into the inpatient mental health system each year.

The Conditional Release Program had another successful year. Client success is evidenced by the fact that 94% of the clients remain in the community and 74% continue to reside in independent living settings.

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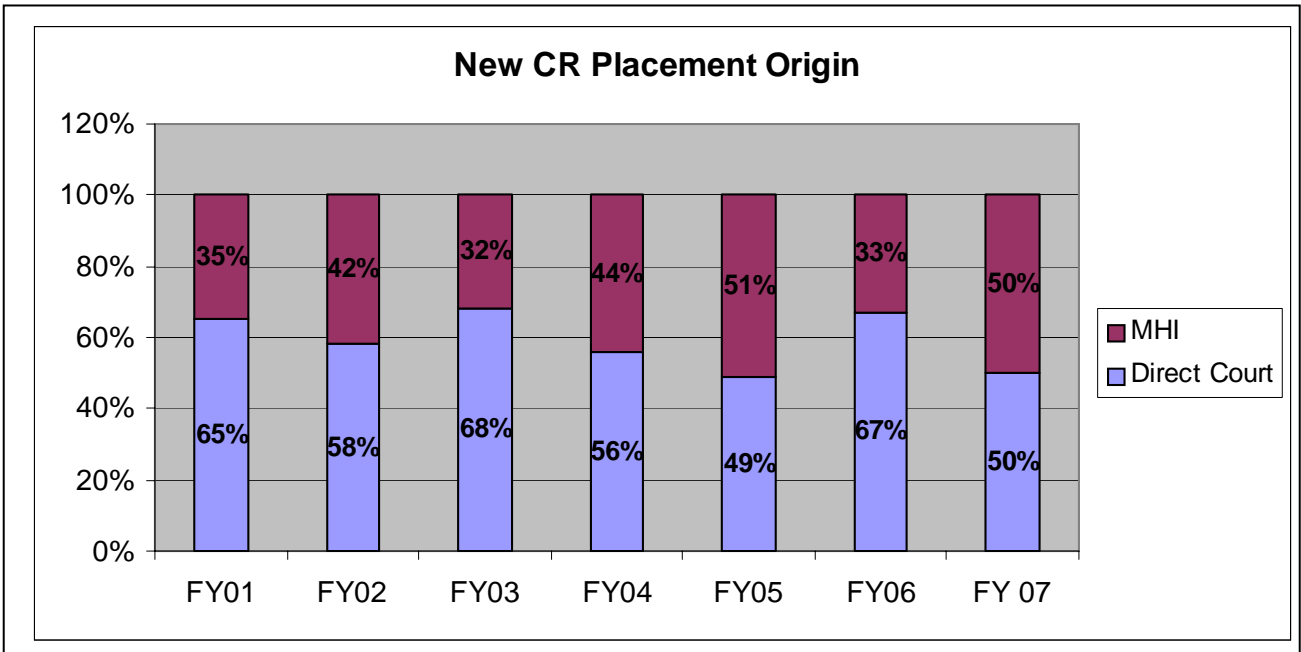
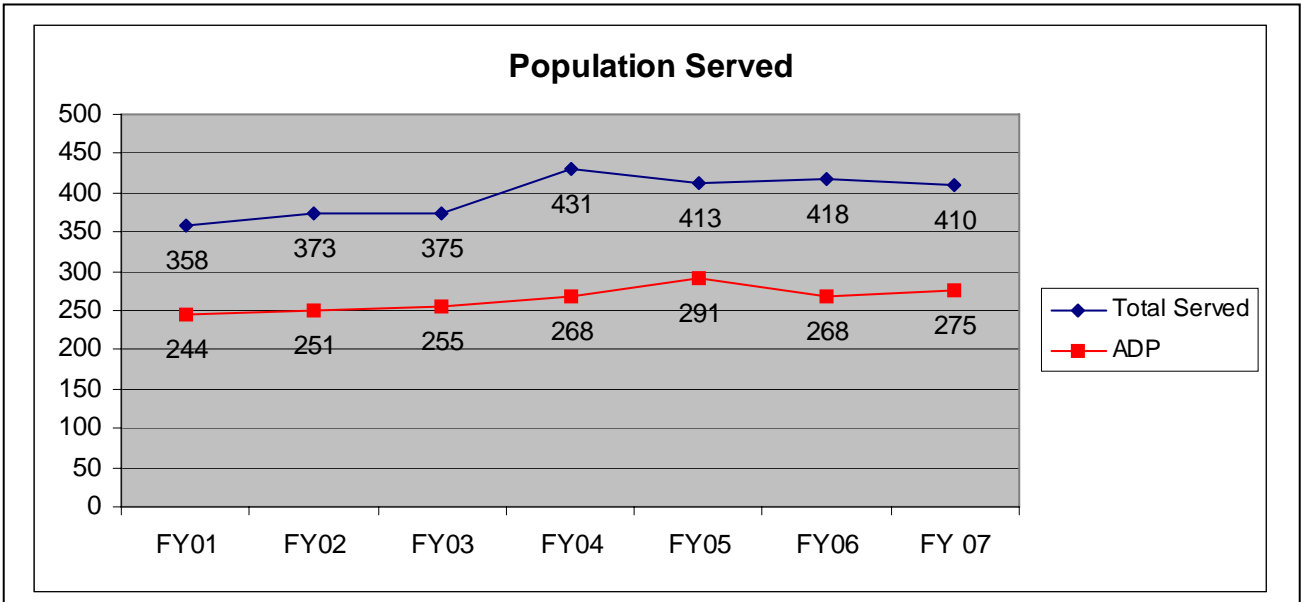
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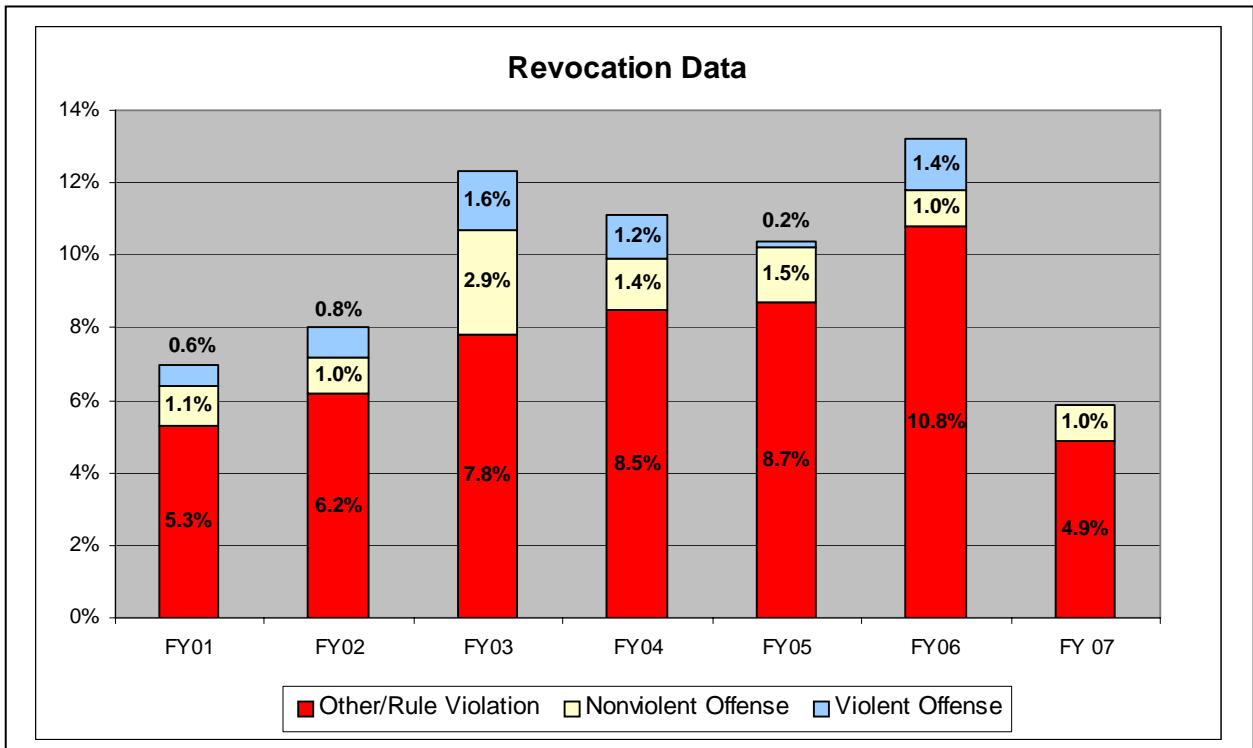
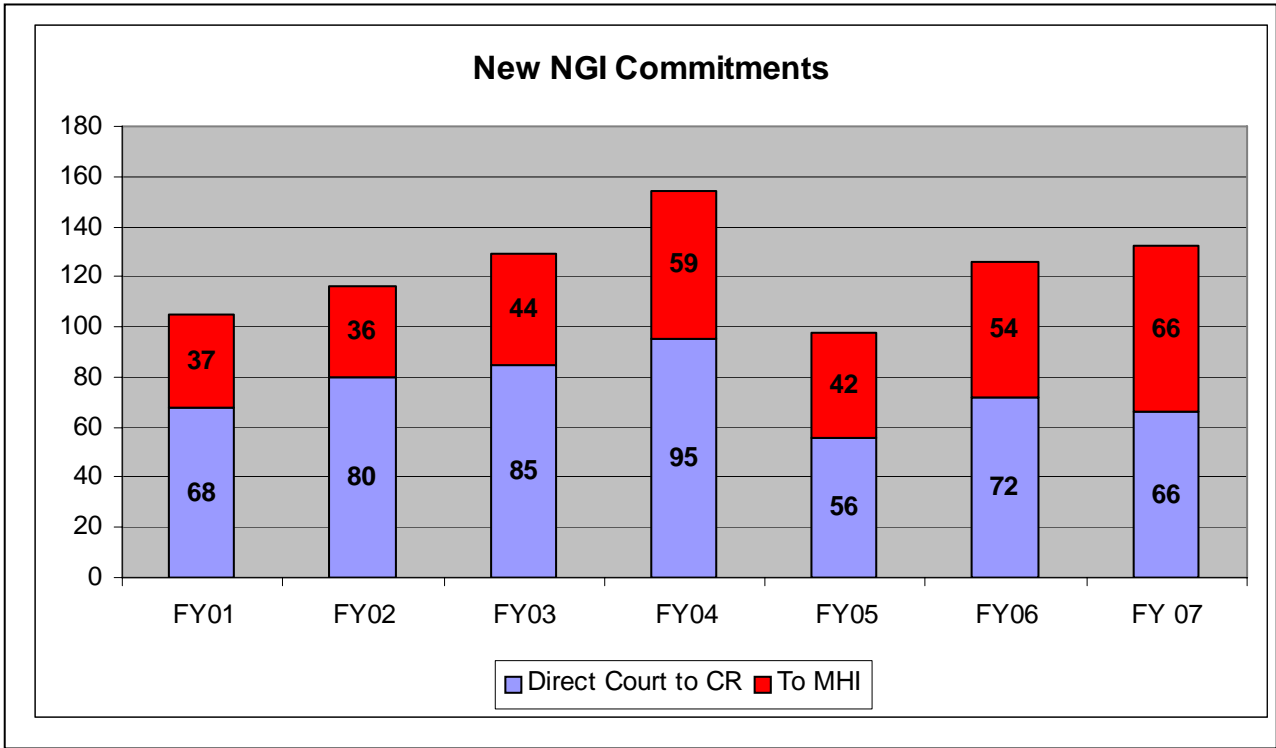
**CONDITIONAL RELEASE
PROGRAM DATA**

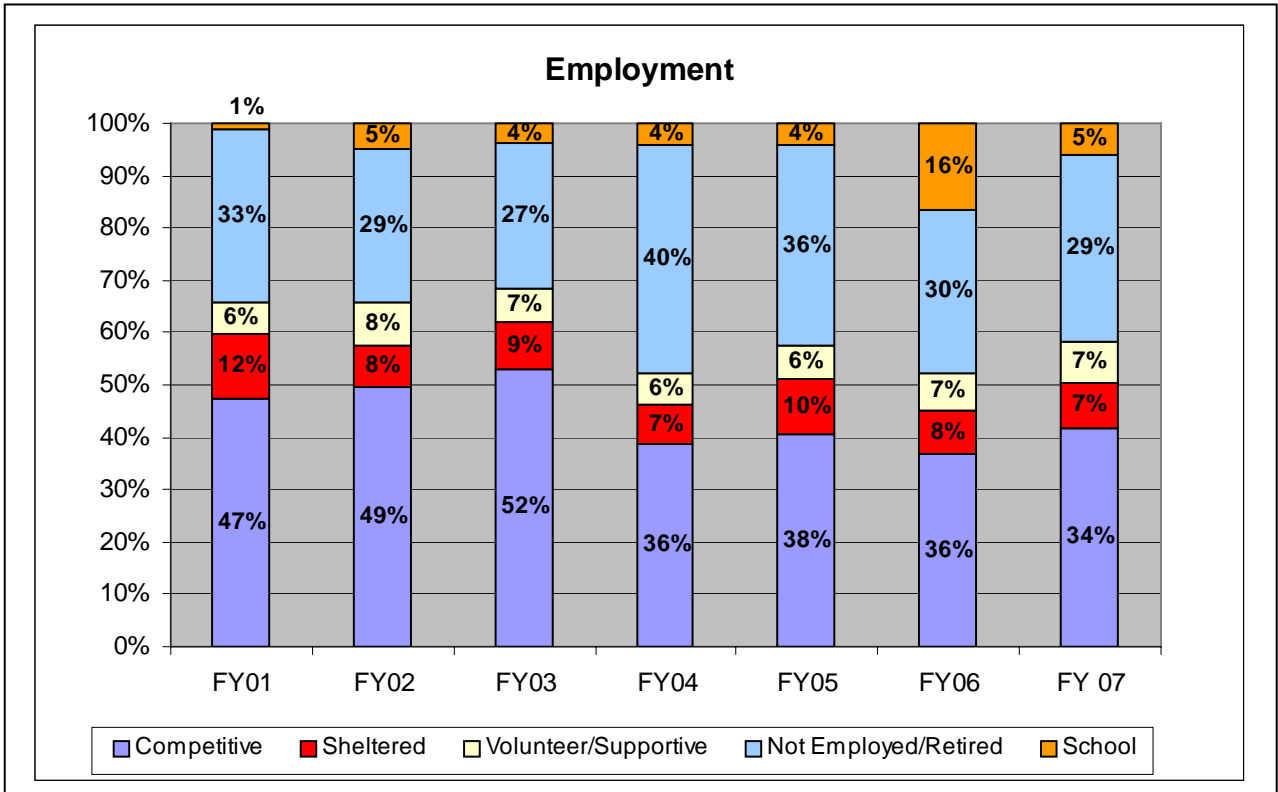
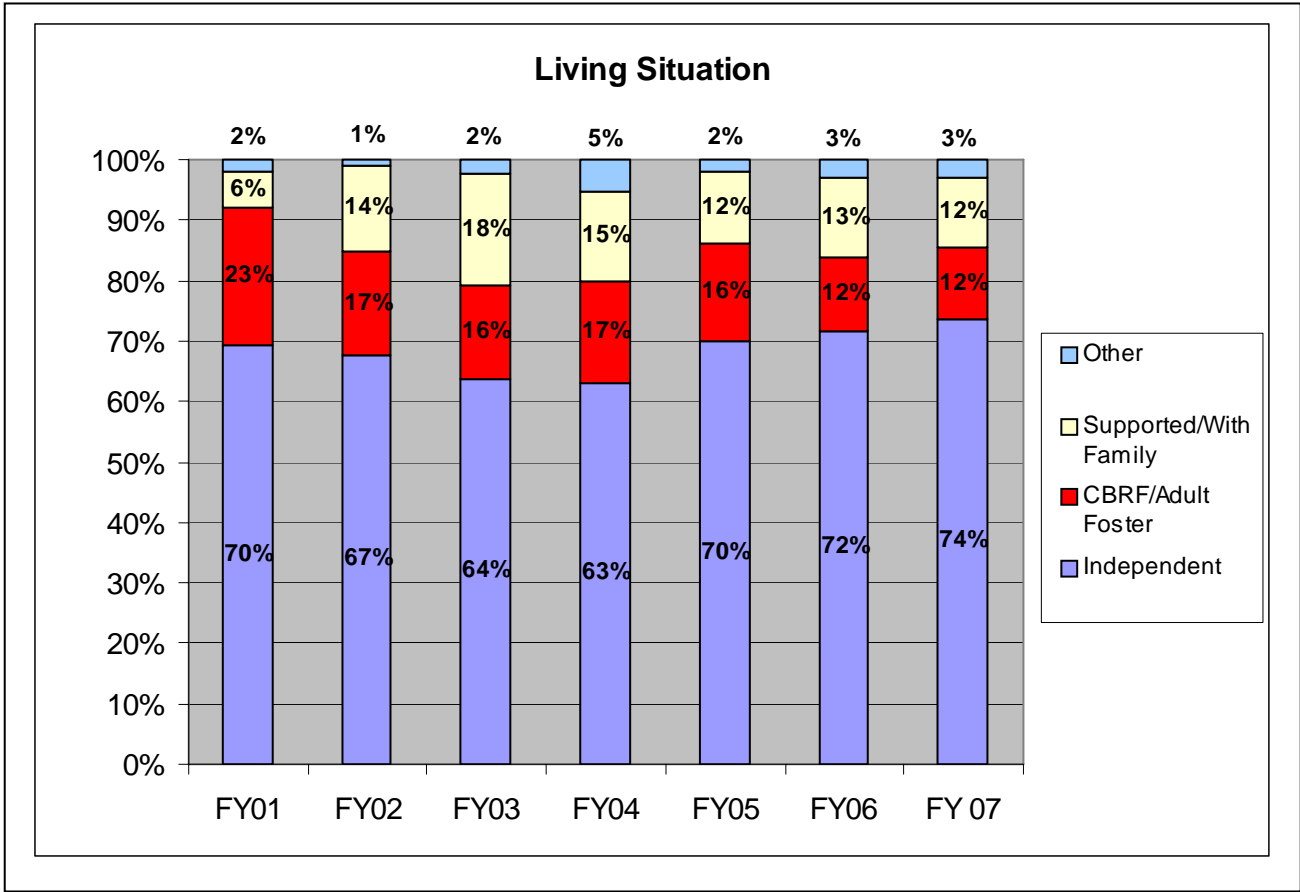
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Conditional Release Comparison Over Time

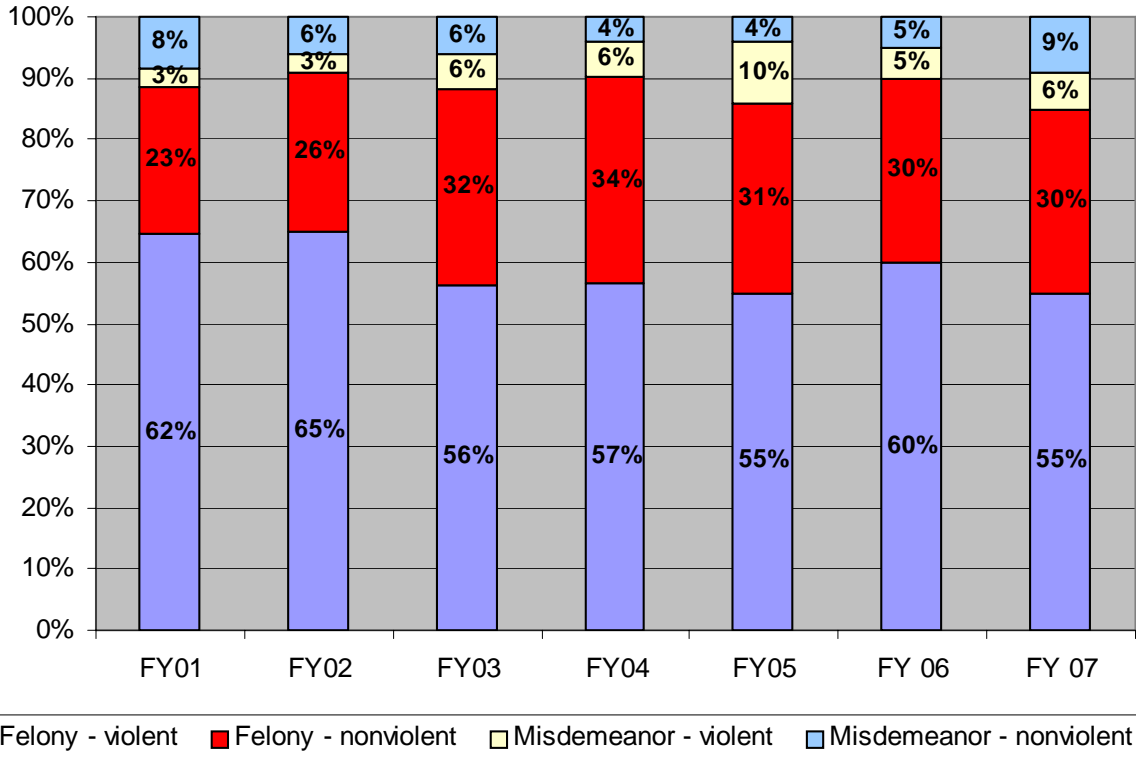
	<u>FY01</u>	<u>FY02</u>	<u>FY03</u>	<u>FY04</u>	<u>FY05</u>	<u>FY06</u>	<u>FY 07</u>
<u>Population Data</u>							
Total Served	358	373	375	431	413	418	410
ADP	244	251	255	268	291	268	275
<u>New CR Placement Origin</u>							
Direct Court	65%	58%	68%	56%	49%	67%	50%
MHI	35%	42%	32%	44%	51%	33%	50%
<u>New NGI Commitments</u>							
Direct Court to CR	68	80	85	95	56	72	66
To MHI	37	36	44	59	42	54	66
Total Admissions	105	116	129	154	98	126	132
<u>Revocation Data</u>							
Other/Rule Violation	5.3%	6.2%	7.8%	8.5%	8.7%	10.8%	4.9%
Nonviolent Offense	1.1%	1.0%	2.9%	1.4%	1.5%	1.0%	1.0%
Violent Offense	0.6%	0.8%	1.6%	1.2%	0.2%	1.4%	0.0%
Total % Revoked (of total served)	7.0%	8.0%	12.3%	11.1%	10.4%	13.2%	5.9%
<u>Living Situation</u>							
Independent	70%	67%	64%	63%	70%	72%	74%
CBRF/Adult Foster	23%	17%	16%	17%	16%	12%	12%
Supported/With Family	6%	14%	18%	15%	12%	13%	12%
Other	2%	1%	2%	5%	2%	3%	3%
<u>Employment</u>							
Competitive	47%	49%	52%	36%	38%	36%	34%
Sheltered	12%	8%	9%	7%	10%	8%	7%
Volunteer/Supportive	6%	8%	7%	6%	6%	7%	7%
Not Employed/Retired	33%	29%	27%	40%	36%	30%	29%
School	1%	5%	4%	4%	4%	16%	5%
<u>Crime at Commitment</u>							
Felony - violent	62%	65%	56%	57%	55%	60%	55%
Felony - nonviolent	23%	26%	32%	34%	31%	30%	30%
Total Felony	89%	91%	88%	89%	86%	90%	85%
<u>Misdemeanor</u>							
Misdemeanor - violent	3%	3%	6%	6%	10%	5%	6%
Misdemeanor - nonviolent	8%	6%	6%	4%	4%	5%	9%
Total Misdemeanor	11%	9%	12%	11%	14%	10%	15%
<u>Diagnostic Categories</u>							
Schizophrenia	34%	30%	33%	34%	29%	28%	26.7%
Mood Disorder	46%	32%	29%	29%	29%	30%	31.1%
DD	3%	3%	2%	2%	2%	2%	3.7%
Co-occurring	40%	41%	38%	46%	46%	40%	44.7%
<u>Cost per Client</u>							
MHI	\$128,500	\$133,590	\$139,300	\$139,300	\$139,300	\$143,479	193,622
GPR Only Net Cost/ADP	\$12,859	\$13,269	\$11,386	\$11,998	\$14,046	\$14,765	\$15,824
GPR Only Net Cost/Total Served	\$8,764	\$8,930	\$7,742	\$7,460	\$9,897	\$9,467	\$10,191







Crime at Commitment



Diagnostic Categories of CR Clients

